





1 Introduction and summary

The Quarry Products Association (QPA) and the Scottish Environment Protection Agency (SEPA) have developed this document to promote the sustainable reuse of asphalt road planings. It provides an agreed methodology for demonstrating when aggregate produced from source segregated asphalt road planings has been fully recovered to the extent that it has ceased to be waste for a particular proposed use. This is for the purpose of encouraging the use of recycled aggregates through clarification of the waste legislation.

If producers and users of such materials comply with this guidance it is likely that the material they produce will be considered to be a product rather than a waste. Whilst producers and users are not obliged to comply with the guidance if they do not the processed material will remain to be classified as a waste and thus be subject to the requirements of the waste regulations.

This document also sets out the legislative requirements of the waste management licensing regime which are applicable to the processing of asphalt road planings. It is intended for use by both SEPA staff and those involved in the processing of asphalt road planings.

A flowchart is provided in Appendix A which provides a simple summary of this guidance. Whilst it provides an overview of the guidance it is <u>essential</u> that all users read the document in its entirety to ensure clarity.

2 Scope

This guidance applies solely to source segregated asphalt road planings covered by the European Waste Catalogue Code 17 03 02.

This document relates to asphalt road planings which are defined here as a dense mixture of bitumous binder and mineral aggregate. A road planer is a cold milling machine which uses a rotating milling drum to remove asphalt from a defective road and generates asphalt road planings as a result. It is this process that this guidance applies to, there are a variety of other means in which road materials can be removed and a variety of other wastes generated and these are not covered here. For further information about your operation you should contact your local SEPA office.

This document does not cover:

- Tar bound aggregates. Tar pitches are derived from coal and are classed as special/hazardous waste, European Waste Catalogue Code 17 03 03. Where a road has a tar content it is the responsibility of the road owner/operator to identify and quantify this and make arrangements for its treatment/disposal at a suitably licensed facility.
- Asphalt and asphalt road planings contaminated with any other substances including, though not restricted to, plastics, glass, metals, and spilled liquids.
- Asphalt removed/processed by any other method other than by a road planer e.g. via pneumatic or hydraulic breakers.

3 Process overview

When a road reaches the end of its functional life it must be replaced. There are a number of road failure mechanisms including foundation/structural failure, drainage failure, skid resistance failure, binder-aggregate adhesion failure and surface profile failure. These are generally caused by the number of vehicles that have passed over the road, changes in the drainage of the road or structural failure of the underlying formation. They are not caused by any chemical change in the material itself.

The road to be replaced can be removed in several ways, including the use of pneumatic and hydraulic breakers and road planers. The process of removal using a road planer changes the physical nature of the material from a continuous homogeneous body to a granular material by milling. There is no chemical change to the material when using this process.

When such a milling operation is undertaken on an asphalt road using a road planer it will primarily produce a material that complies with the selected granular material specification 6F3 (Specification for Highway Works (SHW) Volume 1 Series 600 table 6/1). It can also produce material that complies with other relevant specifications from the SHW as detailed in Table 1 (Section 4.2). Material meeting these specifications may be used in a number of different civil engineering applications, this can include: capping, sub base, basic running surfaces and use as a feedstock in the manufacture of new road surfacing materials.

4 When asphalt planings may be considered to be fully recovered

There are a limited number of cases where waste may be considered to be fully recovered and no longer subject to the requirements of waste legislation prior to its final use (for further information on this topic please see the SEPA guidance document 'Is it waste – understanding the definition of waste'). When considering the status of asphalt road planings the following points need to be considered.

4.1 Environmental characteristics of bitumous asphalt road planings

The materials used in building a road will depend upon the date of construction and class of road. Bitumen-based surfacing materials predominate in present-day road construction in the UK, but coal-tar pitch based surfaces have been used in the past. Coal-tar pitches (derived from coal) contain a higher proportion of polycyclic aromatic hydrocarbons (PAHs), by several orders of magnitude, than bitumen (derived from crude oil, or occurring naturally). These present a much higher potential for the leaching of these substances.

The production of bitumen by refining crude oil is carried out in carefully temperature controlled conditions which avoids thermal degradation and the possibility of significant PAH formation. Furthermore, as the majority of the compounds have low boiling points and are removed during vacuum distillation in the refining process, PAHs are generally present in more limited amounts in bitumens than in the crude oils from which they are derived.

The environmental risk from PAH leaching from bitumen is extremely small. As such, milled uncontaminated bitumous asphalt road planings, can be used with the same environmental precautions as would be applied for virgin material.

4.2 Establishing the compliance to a recognised specification

In order for materials produced using a road planer to be regarded as having been fully recovered and therefore not subject to waste management legislation, a level of quality control is required. The processed asphalt road planings must be suitable for the proposed use and should be capable of being used in the same manner with the same level of environmental control as the material that it is substituting. Given the environmental information provided in 4.1, the engineering aspect of this requirement is fulfilled by sampling and testing the product (asphalt road planings) to the 6F3 specification. This should be done on a weekly basis, as a minimum, until consistency can be established. Another specification listed in the SHW Volume 1 may be used where appropriate provided that it is included in Table 1.

Table 1 – Specifications from SHW compliant with this guidance

SHW Table No.	Class (Specification)	Brief description
6/1	1A	Well graded granular material
	1B	Uniformly graded granular material
	1C	Coarse granular material
	2B	Dry cohesive material
	2C	Stony cohesive material
	6B	Selected coarse granular material
	6C	Selected uniformly graded granular material
	6E	Selected granular material
	6F3	Selected granular material
	7A	Selected cohesive material
	7D	Selected stony cohesive material
	7E	Selected cohesive material
	71	Selected cohesive material
	8	Various from Class 1 and 2

4.3 Establishing the certainty of use for the milled asphalt road planings

It must be demonstrated that the manufactured aggregate (milled asphalt road planings) is certain to be used. Producers and users of recycled road planings should therefore note that, even if recycled asphalt planings have been produced to a relevant specification (see Table 1), it will be waste and subject to regulatory waste controls if it is stored with no identifiable end use or it is discarded after being produced.

Prior to commencement of planing operations the main contractor on the site, or the planing sub-contractor, must establish that there is an identified and certain end-use for the milled asphalt road planings.

Below are a number of examples which are deemed either compliant or non-compliant under this guidance, they should be used as a guide rather than as a definitive list.

Compliant uses

- Recovered asphalt road planings being used as feedstock in the manufacturing of new road surfacing can be stored at the manufacturing site for the new surface material as a non-waste.
- Recovered asphalt road planings which are to be used for farm roads will be regarded as a non-waste as long as the use is certain and identified.
- Recovered asphalt road planings which are to be used in the construction of roads in accordance with the Specifications for Highway Works will be regarded as non-waste.
- Recovered asphalt road planings being stored in stock for onward sale/use at a permanent site involved in the day to day production/sale/distribution of virgin aggregate such as a quarry or depot is unlikely to be regarded as waste.

Non-compliant uses

- Recovered asphalt road planings being stored at any location without an identified use will be regarded as waste.
- The use of recovered asphalt road planings as infill/restoration material for quarries will require authorisation from SEPA and is not covered by this guidance.

5 Legal compliance

Where the intention is to process the waste at the site of production through the use of a road planer, as described above, a **Paragraph 13 exemption** (as described in Schedule 3 of the Waste Management Licensing Regulations 1994) should be registered with SEPA. This exemption from the requirement to hold a waste management licence covers the activity of manufacturing aggregate and roadstone from various wastes.

To comply with the law, exemptions must be registered with SEPA. The simplest/easiest route for registering an exemption for non-fee paying exemptions is online at www.sepa.org.uk/WMXReg. Alternatively exemptions can be registered by phoning, emailing or writing to your local SEPA office with details of the proposed activity, the location, the timescales involved and the intended use of the processed material. There is no fee for a Paragraph 13 activity nor are they time limited.

Exemptions must be registered at each site where a waste recovery operation is undertaken prior to the activity taking place. This means that where such an activity is proposed it must be registered at every distinct road removal operation. This is because a registered exemption is for a distinct activity at a distinct location rather than for a particular piece of equipment. In most situations the boundaries of a site will be obvious however, as a rule of thumb, any site will be considered to be an identified phase of road replacement or, in exceptional circumstances, a number of overlapping (both in time and location) phases of road replacement. Whole road systems are not considered to represent one site.

Good Practice – when undertaking large road removal operations, prior to registering an exemption, operators should contact the local SEPA office covering the road replacement works to ensure local officers are aware of the activity.

If the material has been fully recovered, there is certainty of use and thus is no longer waste its subsequent movement and use will not be subject to the requirements of the waste regulatory control. As such, the material would not have to be transported by a registered waste carrier or be accompanied by a controlled waste transfer note (CWTN).

Where waste planings have not complied with this guidance and have therefore not ceased to be waste the following will be required:

A CWTN must accompany movements of waste asphalt. Hauliers/carriers must be registered waste carriers. If you need further advice on what a CWTN should include or how to register as a waste carrier please contact your local SEPA office.

If you are transferring waste asphalt planings to an establishment or undertaking with a relevant registered exemption from waste management licensing or a site holding a Waste Management Licence then it is your legal duty to ensure that the receiving undertaking, establishment or licensed site is permitted to accept such wastes.

Where the intention is to process the waste either at the site of production, though not utilising a road planer, or at any other site a Paragraph 13 exemption should be registered with SEPA.

Should you require further details on the issues above please contact your local SEPA office. A list of local office contact details is provided at the back of this guidance.

6 Documentation

All quality control records relating to the processing of waste asphalt must be maintained and available for inspection by SEPA for at least 2 years. This should include details of:

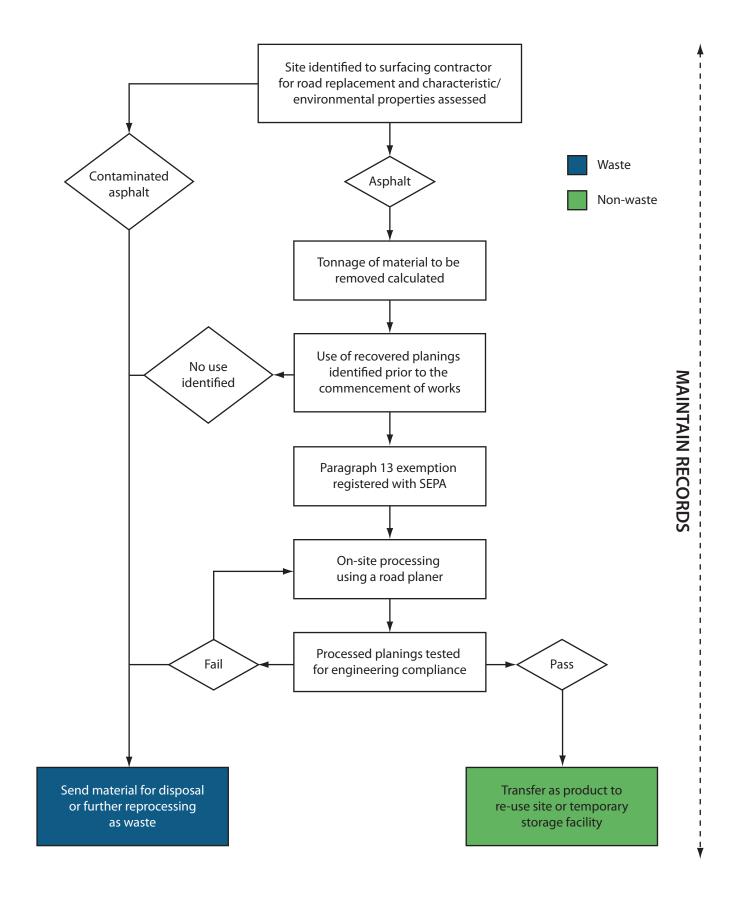
- The exemption registration number for the recovery of the asphalt planings.
- The assessment undertaken prior to the commencement of operations relating to the type of material (i.e. bitumous or tar content) and any contamination.
- Material leaving the site. Records must be kept of all aggregate recycled. These records must correspond to the contracts of supply issued to the customer. The following details of the destination of the material must be kept:
 - date;
 - quantity of weight/volume;
 - name and address of receiving business/establishment;
 - intended end use;
 - locations of end use;
 - grading content test records.

Where a road planing subcontractor is carrying out the above process it is their responsibility to maintain the above paperwork and have it available for inspection by the main contractor or other inspection bodies such as SEPA.

7 References and links

- Quarry Products Association: www.qpa.org
- SEPA website (general information): www.sepa.org.uk
 Waste Management Exemptions (including on-line registration facility): www.sepa.org.uk/regulation/waste/exemptions.htm
 Is it waste? Understanding the definition of waste: www.sepa.org.uk/guidance/index.htm#waste
- The Waste Management Licensing Regulations 1994 (as amended): www.netregs.gov.uk
- Specification for Highways Work: www.standardsforhighways.co.uk
- Waste and Resources Action Programme: www.wrap.org.uk

Appendix: Flow chart for on-site processing of failed asphalt road surface



Contact details of SEPA offices

Corporate Office

Erskine Court Castle Business Park STIRLING FK9 4TR

Tel: 01786 457700 Fax: 01786 446885

Aberdeen Office

Greyhope House Greyhope Road Torry ABERDEEN AB11 9RD

Tel: 01224 248338 Fax: 01224 248591

Leading Light Building

142 Sinclair Road

Torry ABERDEEN AB11 9PR

Tel: 01224 248338 Fax: 01224 248591

Arbroath Office

62 High Street ARBROATH DD11 1AW Tel: 01241 874370 Fax: 01241 430695

Ayr Office

31 Miller Road AYR KA7 2AX

Tel: 01292 294000 Fax: 01292 611130

Dingwall Office

Graesser House Fodderty Way Dingwall Business Park DINGWALL IV15 9XB

Tel: 01349 862021 Fax: 01349 863987

Dumfries Office

Rivers House Irongray Road DUMFRIES DG2 0JE

Tel: 01387 720502 Fax: 01387 721154

East Kilbride Office

5 Redwood Crescent Peel Park EAST KILBRIDE G74 5PP Tel: 01355 574200 Fax: 01355 574688

Orbital House

3 Redwood Crescent Peel Park EAST KILBRIDE G74 5PR Tel: 01355 574200

Fax: 01355 574688 Edinburgh Office

Clearwater House Heriot Watt Research Park Avenue North Riccarton EDINBURGH EH14 4AP

Tel: 0131 449 7296 Fax: 0131 449 7277

28 Perimeter Road

Elgin Office

Pinefield ELGIN IV30 6AF Tel: 01343 547663 Fax: 01343 540884

Fort William Office

Carr's Corner Industrial Estate Lochybridge FORT WILLIAM PH33 6TL Tel: 01397 704426

Fax: 01397 705404

Fraserburgh Office

Shaw House Mid Street FRASERBURGH AB43 9JN Tel: 01346 510502 Fax: 01346 515444

Galashiels Office

Burnbrae Mossilee Road GALASHIELS TD1 1NF

Tel: 01896 754797 Fax: 01896 754412

Glasgow Office

Law House Todd Campus West of Scotland Science Park Maryhill Road GLASGOW G20 0XA

Tel: 0141 945 6350 Fax: 0141 948 0006

Glenrothes Office

Pentland Court The Saltire Centre GLENROTHES KY6 2DA

Tel: 01592 776910 Fax: 01592 775923

Lochgilphead Office

2 Smithy Lane LOCHGILPHEAD PA31 8TA Tel: 01546 602876

Tel: 01546 602876 Fax: 01546 602337

Newton Stewart Office

Penkiln Bridge Court Minnigaff NEWTON STEWART DG8 6AA

Tel: 01671 402618 Fax: 01671 404121

Orkney Office

Norlantic House Scotts Road Hatston Kirkwall ORKNEY KW15 1RE Tel: 01856 871080 Fax: 01856 871090

Perth Office

7 Whitefriars Crescent PERTH PH2 0PA Tel: 01738 627989 Fax: 01738 630997

Strathearn House

Broxden Business Park Lamberkine Drive PERTH PH1 1RX

Tel: 01738 627989 Fax: 01738 630997

Shetland Office

The Esplanade LERWICK Shetland ZE1 OLL

Tel: 01595 696926 Fax: 01595 696946

Stirling Office

Bremner House The Castle Business Park STIRLING FK9 4TF Tel: 01786 452595

Tel: 01786 452595 Fax: 01786 461425

Thurso Office

Thurso Business Park THURSO Caithness KW14 7XW Tel: 01847 894422 Fax: 01847 893365

Western Isles Office

2 James Square James Street STORNOWAY Isle of Lewis HS1 2QN Tel: 01851 706477

Fax: 01851 703510